

Ohio Breeders Awards Program Form

(For Mares Bred in 2023)

Initial Mare Registration: Due November 30th of Year Bred

1. INITIAL MARE REGISTRATION: Complete 1 for Initial Registration		
Broodmare Information: <i>One Mare Per Form</i> . List two <i>only if</i> Embryo Transfer- List Recipient and Donor Mare.		
Mare's Registered Name: (If ET notate Recipient or Donor Mare)	USTA Registration #	Ohio Stallion Mare Bred to:
2. 187 DAY RESIDENCY: Complete 1, 2, & 3 for Final Verification		
Location of Mare for Foaling and 187 Day: Residency (Include: Start and End Date)	Contact Person and Address: (Include: City, State, Zip)	Telephone:
		E-mail:
<i>If Mare is a Permanent Resident at this Location Check the Box ⇔</i>		
3. CERTIFICATION: Please read, Initial, and Sign. Each applicable section must be completed in its entirety by the deadline stated. Any deficiencies will be considered an incomplete submission.		
I hereby state that all information provided herein is true and correct. I further certify if the mare leaves the State for any reason I will notify the OHHA within 72 hours of return, complete and return the separate, "Emergency Exception Form". I understand that any false or fraudulent statements made by me or on my behalf may result in automatic removal from the Breeder's Awards Program and may be punishable under Ohio Law.		
For verification purposes I consent to the OHHA entering upon the property, farm, or other residence and permit them to inspect any veterinary records or breeding or billing records, validate the mare's presence at the provided and status. I agree to indemnify and hold harmless in law and equity the OHHA for any action that causes any damage to the OHHA, or any agent acting on behalf of the OHHA.		Initials
Failure to submit this form within the timeframe outlined in each section may result in the Mare or Breeder's automatic removal from the Breeder's Awards Program. The severity or frequency of any failure(s) may bar and preclude future participation.		Initials
The Ohio Harness Horsemen's Association reserves all rights including the ability to make changes to the program or abolish the program in its entirety in their complete and unfettered discretion. Program rules for the program year are included by reference herein. All decisions made by the OHHA on all matters concerning the Breeder's Awards Program is Final. Upon remittance, the Ohio Harness Horsemen's Association shall be completely held harmless and indemnified in any dispute, claim, or loss incurred by any party and specifically by the Breeder listed First on the Mare's United States Trotting Association's Registration. Any subsequent action or claim arising from the Breeder's Awards Program must first be arbitrated prior to initiating any claim in Court or with any Administrative Agency. At law, the Court of Common Pleas of Franklin County, Ohio has exclusive jurisdiction over any claims.		Initial

Authorized Signature Date: (mm/dd/yyyy)

Include the Initial Registration Fee - Payable to the Ohio Harness Horsemen's Association

Include applicable OHHA Membership Fee for all Breeders (per USTA Breed Registry)
\$65-Full Active Membership, \$25-Business Entity Only

Total Remitted:

Attach any additional documentation as outlined in each section and a completed W-9. Return to the OHHA: Via Fax: 614-221-8726, Via E-mail: breedersawards@ohha.com, or via First Class Mail (Postage Pre-paid) to 2237 Sonora Drive, Grove City, Ohio 43123. The official Post Postmark

INSTRUCTIONS: This form is intended to be used for all submissions except in the case of the mare being removed from the State of Ohio for an Emergency during the 187-day contiguous residency period. If the mare leaves the state for any reason during the 187-day contiguous residency period you must report that to the OHHA on the separate "Emergency Exception Form" within 72 hours of return. Each Section must be completely filled out by the November 30th deadline in the year the Mare was bred. The complete initial registration form and money to be paid must be postmarked or received (file stamped upon receipt) by the OHHA Office on or before the deadline date.

Section 1: This is the Initial Registration for the Mare. **ONE MARE PER FORM** unless you are breeding a mare via embryo transfer **only**. If utilizing embryo transfer, please provide **BOTH** the Donor Mare and the Recipient Mare's information in the space provided, use the Recipient Mare's information for Residency. All Membership Fees and \$20 Registration Fee shall be paid at Initial Registration. The Membership and/or Registration Fees will not be refunded or carried over to subsequent years.

Section 2: The Mare's 187 Day Residency Verification is the location the Mare will reside for the contiguous 187 Day mandatory residence in the year of foaling. If the expectation is that the Mare will split her time between two Ohio locations provide the details and expected start and end date that the Mare will reside at each location. If utilizing artificial insemination without moving the Mare back-and-forth, note that here. If the mare is a permanent resident at this location, check the box.

Section 3: Certification and Signature Box: Please initial each box to the right of the certifications acknowledging that you have read and accept the terms outlined in each section of the Certification. **Any time** a submission is made the Certifications must be initialed. The Breeder (as designated by the USTA's official Breed Registry) listed First on the Mare's United States Trotting Association's Registration shall sign the Forms each time they are submitted. Assumption of risk for signature by anyone other than the Breeder listed First on the Mare's United States Trotting Association's Registration is borne entirely by the Breeder listed First on the Mare's United States Trotting Association's Registration. Upon remittance, the Ohio Harness Horsemen's Association shall be completely held harmless and indemnified in any dispute, claim, or loss incurred by any party and specifically by the Breeder listed First on the Mare's United States Trotting Association's Registration.

Remittance Amount: The total amount for Registration per Mare due with each form is \$20. Beginning with mares registered and bred in 2018 (foals of 2019, forward), **All Breeders** (as designated by the USTA's official Breed Registry) are required to be Members of the Ohio Harness Horsemen's Association in the year of foaling only. The membership requirement applies to all Breeders and extends to any business entity or stable-Breeders and all affiliates/members of the business entity or stable as reported by the entity's United States Trotting Association's record. By way of example, Super Breeder, LLC is the Mare's United States Trotting Association's Breeder of Record for the Mare bred in 2020 that will foal in 2021. Super Breeder LLC has six affiliates/members. Super Breeder LLC must include its \$25 Membership fee and the six affiliates/members of Super Breeder, LLC must each pay the \$65 full-active Membership fee, the total remitted shall be \$435 (\$20 Mare Registration Fee + \$25 Super Breeder LLC Associate Membership + \$65 x 6 for affiliates of Super Breeder LLC).

Ohio Breeder's Award Program Complete Rules

(A.) A portion of the discretionary funds that are paid to the Ohio Harness Horsemen's Association (the "OHHA") by the Standardbred permit holders from the video lottery terminal wagering will be used to pay breeder's awards to the owners of the dams of Standardbred horses that were born in 2013 and thereafter and are sired by a stallion that was registered as a Standardbred stallion with the Ohio State Racing Commission for the applicable breeding season. Breeder's awards will be paid beginning with the 2016 racing season. No Later than December 31 of each year beginning in 2016, the OHHA will establish the total sum that will be paid as breeder's awards with respect to races conducted during the next succeeding calendar year. "Breeder" is defined as any person(s), entity or entities listed as the Breeder on the registration certificate.

(B.) All horses born in 2013, 2014, 2015 and 2016 that meet the requirements of subsection (A) will be eligible to earn breeder's awards for the Breeder(s) of the foals without their dams being registered pursuant to subsection (C).

(C.) For mares bred in 2018 with foals of 2019 and thereafter, in addition to the requirements of subsection (A), the following eligibility requirements will apply:

1. The horse must be born in the State of Ohio and the dam must reside in the State of Ohio during the year of foaling for a continuous period that is not less than one hundred eighty-seven (187) days. Continuous residency is subject to exceptions for acts of God, medical emergency, or participation in an out-of-state public sale for a period of up to fourteen days. Valid exceptions must be reported on an Exception Waiver Form to the OHHA no later than 48 hours after moving the mare out-of-state and must provide full details for the exception to apply.
2. The dam of the horse must be registered with the breeder's award program with the OHHA between September 1st and November 30th of the year of breeding. All forms necessary will be provided by the OHHA by request and will be available for download from the OHHA website. Beginning with mares bred in 2018 and thereafter, the breeder(s) of each horse must be members of the OHHA in the year of foaling. Validly executed Assignments of Interest for Breeders Awards are accepted if signed by the Breeder(s) and only if the Breeders Award and the Breeder(s) are in good standing, with active Memberships at the time of Assignment on the Interest.
3. The information that is provided at the time of registration of the mare shall include the name and tattoo number of the mare, the name of the Ohio-registered stallion that the mare was bred to, the location in the State of Ohio where the mare resides or will reside during the year of foaling, the date when the mare began residency or is expected to begin residency in the State of Ohio, and the names and addresses of the Breeder(s) of the mare at the time of registration with the program. The form shall include a sworn verification-certifying residency pursuant to Paragraph 1, for the mare. At the time of registration of the mare the Breeder(s) shall also provide a completed I.R.S. W9 Form and Full Waiver and Consent to enter onto the resident mare's property for residency verification purposes.

(D.) For mares bred in 2019 with foals of 2020 and thereafter, the dam of the horse must be registered with the breeder's award program with the OHHA between September 1st and November 30th of the year of breeding. Any mare registration received at the OHHA office from December 1st to midnight December 31st shall include an additional \$100 late-registration fee per mare. If not automatically accompanied by the late-registration fee you will be invoiced, non-payment of the late registration fee will result in a failure to register the mare for that year's Breeder's Awards program. All mare registrations and fees must be received by the OHHA office by midnight December 31st. All forms necessary will be provided by the OHHA by request and will be available for download from the OHHA website.

1. A registration fee of \$20.00 per mare will be charged, payable to the OHHA at the time of registration, together with the OHHA membership fee if not previously paid, and any late-registration fee.

(E.) Ohio breeder's awards shall be paid only for two and three-year-olds racing in 2016 forward. Breeder's awards will be payable for the following eight categories:

- (1) 2-year-old trotting fillies
- (2) 2-year-old trotting colts.
- (3) 2-year-old pacing fillies
- (4) 2-year-old pacing colts
- (5) 3-year-old trotting fillies
- (6) 3-year-old trotting colts
- (7) 3-year-old pacing fillies
- (8) 3-year-old pacing colts

1. The Ohio stake races that will be counted in calculating breeder's awards consist of:
 - (a) Standardbred Development Fund Races the, "Ohio Sires Stake" races.

- (b) The “Buckeye Stallion Series” races
- (c) The Ohio State Fair Stake races
- (d) The “Ohio Fair Championship” races
- (e) The “Ohio Breeders Championship” Stake races
- (f) Any other Ohio Breeder’s stake races
- (g) All stake races conducted by county agricultural societies and independent agricultural societies with purse money provided in whole or in part by the Ohio Fair Funds
- (h) Any other Ohio stake races that are approved by the OHHA no later than December 31st of each year beginning in 2016 for foals born the following year.

2. The award earned by each horse will be based on the purse dollars earned by that horse in Ohio stakes races each year compared to the total purse dollars earned by all of the horses in its category in Ohio stake races that year that are eligible to earn breeder’s awards, expressed as a percentage. The OHHA Board will establish the total amount of breeder’s awards for each category each year by December 31st. That percentage will be multiplied by the total dollars that are allocated to breeder’s awards for the horses in that category, with the result rounded to the nearest whole dollar. Any breeder’s award that calculates to less than \$50.00 will be treated as \$0 earned and not be paid. If there are multiple Breeders, the Breeder’s Award check will be issued to the Breeder listed first on the Registration. If any or a portion of the Breeder’s Award remains unpaid due to the failure of the Breeder to supply all information requested by the OHHA upon request or the OHHA is unable to ascertain the whereabouts of the Breeder or his Beneficiary after sending a registered letter, return receipt requested to the last known address, and further diligent effort to ascertain the Breeder or his Beneficiary’s whereabouts, 1 year from the date the Breeder’s Award was payable, the Award shall be forfeited in its entirety and revert-back to the Administrative Account used for the administrative expense of the program.

(F.) For mares that are registered with the breeder’s award program as described in subsection (C), the OHHA shall be entitled to visit the farm where a mare was reported to reside on one or more occasions to confirm the mare’s residency at that location during the year of foaling. If access to the farm or mare is denied, or if the mare is not residing at that farm and is not otherwise confirmed as residing in or has not resided in the State of Ohio during that time, the foal that is delivered by that mare with respect to that year of registration will not be eligible to the breeder’s award program.